



09-29-00

Sedor #3

Express Mail No.: EL 501 634 771 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Federspiel et al.

Application No.: 09/585,049

Group Art Unit: 2761

Filed: June 1, 2000

Examiner: Not yet assigned

For: A METHOD FOR DIRECTING  
AND EXECUTING CERTIFIED  
TRADING INTERESTS

Attorney Docket No.: 10209-006

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application mailed on June 2, 2000, a copy of which is enclosed, applicants submit herewith an executed Declaration and Power of Attorney.

Please note that the order of inventors, and Henri Waelbroeck's address and residence, are different from that listed on the originally-filed unexecuted Declaration and Power of Attorney. It is respectfully requested that these changes be made of record in the case.

The fee for the submission of this response is estimated to be \$130. Please charge all required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

*Stan P. Underwood* (Reg. No. 47,205)

Date September 28, 2000

For

*Francis E. Morris*

Francis E. Morris

24,615

Reg. No.

**PENNIE & EDMONDS LLP**

1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosure

#3

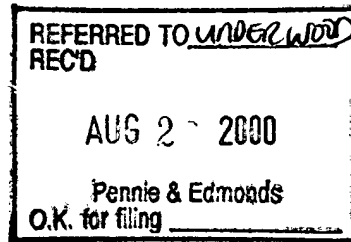
## FORMALITIES LETTER



\*OC000000005336878\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/585,049 ✓	06/01/2000	Fred J. Federspiel	10209-006

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

Date Mailed: 08/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

OATH/DECL + DUE - 10/21/00 CH

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09505049

09505049 00000006 161150

130.00 CH